

Erste Befektetesi Zrt. (Erste
Investment Ltd. Hungary) Top 5
Report for the year 2022
Retail - Broker



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The publication of the Top 5 report shall enable clients to evaluate the quality of Erste Befektetesi Zrt. (Erste Investment Ltd. Hungary)'s execution practices and to identify the top five entities (brokers), where Erste Befektetesi Zrt. (Erste Investment Ltd. Hungary) executed client orders.

I. Equities - Shares & Depositary Receipts - Tick size liquidity bands 5 and 6

a. Tick size liquidity bands 5 and 6

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	89.20 %	94.52 %	80.45 %	19.55 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	9.48 %	5.33 %	100.00 %	0.00 %	100.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	1.32 %	0.15 %	100.00 %	0.00 %	100.00 %

b. Tick size liquidity bands 3 and 4

Notification if <1 average trade per business day in the previous year: N

Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	82.90 %	92.75 %	80.72 %	19.28 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	14.42 %	6.84 %	100.00 %	0.00 %	100.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	1.93 %	0.30 %	100.00 %	0.00 %	100.00 %
BANCA COMERCIALA ROMANA SA (LEI: 549300ORLU6LN5YD8X90)	0.75 %	0.00 %	100.00 %	0.00 %	100.00 %
Budapesti Értéktőzsde Zártkörűen Működő Részvénytársaság (LEI: 54930094UMSXWVWJMT48)	0.01 %	0.10 %	66.67 %	33.33 %	100.00 %

c. Tick size liquidity band 1 and 2

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	82.41 %	95.23 %	89.48 %	10.52 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	13.83 %	4.22 %	100.00 %	0.00 %	100.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	3.75 %	0.49 %	100.00 %	0.00 %	100.00 %
Budapesti Értéktőzsde Zártkörűen Működő Részvénytársaság (LEI: 54930094UMSXWVWJMT48)	0.02 %	0.06 %	73.91 %	26.09 %	100.00 %

II. Debt Instruments - Bonds

a. Bonds

Notification if <1 average trade per business day in the previous year: Y					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	100.00 %	100.00 %	86.67 %	13.33 %	100.00 %

III. Interest Rates Derivatives - Futures and options admitted to trading on a trading venue

a. Futures and options admitted to trading on a trading venue

Notification if <1 average trade per business day in the previous year: Y					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	100.00 %	100.00 %	0.00 %	100.00 %	100.00 %

IV. Equity Derivatives - Options and Futures admitted to trading on a trading venue

a. Options and Futures admitted to trading on a trading venue

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	100.00 %	100.00 %	0.00 %	100.00 %	100.00 %

b. Swaps and other equity derivatives

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	100.00 %	100.00 %	0.00 %	100.00 %	100.00 %

V. Securitized Derivatives - Warrants and Certificate Derivatives

a. Warrants and Certificate Derivatives

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	67.01 %	5.56 %	100.00 %	0.00 %	100.00 %
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	31.05 %	92.49 %	76.99 %	23.01 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	1.94 %	1.95 %	100.00 %	0.00 %	100.00 %

b. Other securitized derivatives

Notification if <1 average trade per business day in the previous year: Y					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	100.00 %	100.00 %	100.00 %	0.00 %	100.00 %

VI. Commodities Derivatives - Options and Futures admitted to trading on a trading venue

a. Options and Futures admitted to trading on a trading venue

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	100.00 %	100.00 %	0.00 %	100.00 %	100.00 %

VII. Exchange Traded Products - Exchange traded funds, exchange traded notes and exchange traded commodities

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	91.07 %	97.19 %	74.72 %	25.28 %	100.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	7.80 %	1.22 %	100.00 %	0.00 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	1.13 %	1.59 %	100.00 %	0.00 %	100.00 %

VIII. Other Instruments

Notification if <1 average trade per business day in the previous year: N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Erste Group Bank AG (LEI: PQOH26KWDF7CG10L6792)	84.43 %	95.55 %	90.28 %	9.72 %	100.00 %
SAXO BANK A/S (LEI: 549300TL5406IC1XKD09)	11.74 %	3.93 %	100.00 %	0.00 %	100.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED (LEI: 8NAV47T0Y26Q87Y0QP81)	3.84 %	0.52 %	100.00 %	0.00 %	100.00 %